

**29 June 2015**

**Audit, Scrutiny and Transformation Committee**

**Internal Audit Progress Report**

**Report of:** *Greg Rubins – Head of Internal Audit*

**Wards Affected:** *All*

**This report is:** *This report is public*

**1. Executive Summary**

- 1.1 This report details the progress to date against the 2014/15 internal audit plan that was agreed with in the Audit Committee in March 2014 and the 2015/16 internal audit plan that was agreed with in the Audit and Scrutiny Committee in March 2015.
- 1.2 The report also includes an update on the progress of the implementation of the recommendations raised in 2013/14 and 2014/15.
- 1.3 The following reports received limited assurance and therefore are included as full reports as supplementary papers to this progress report:
  - Partnership Arrangements
  - Risk Management
  - IT Data Security

**2. Recommendation(s)**

- 2.1 To receive and note the contents of the reports.**

**3. Introduction and Background**

- 3.1 The Audit Committee approved the 2014/15 annual audit plan on 11 March 2014. Progress reports on the delivery of the plan are presented at each Committee meeting. The 2014/15 audit plan is now complete.
- 3.2 The Audit and Scrutiny Committee approved the 2015/16 annual audit plan on 9 March 2015.

**4. Issue, Options and Analysis of Options**

- 4.1 Not applicable.

## **5. Reasons for Recommendation**

5.1 To monitor the progress of work against the internal audit plan.

## **6. Consultation**

6.1 Not applicable.

## **7. References to Corporate Plan**

7.1 Good financial management underpins all priorities within the Corporate Plan.

## **8. Implications**

### **Financial Implications**

**Name & Title:** Chris Leslie, Finance Director

**Tel & Email:** 01277 312542 / christopher.leslie@brentwood.gov.uk

8.1 There are no direct financial implications arising from this report.

### **Legal Implications**

**Name & Title:** Chris Potter, Monitoring Officer

**Tel & Email:** 01277 312860 / christopher.potter@brentwood.gov.uk

8.2 There are no direct legal implications arising from this report

## **9. Appendices to this report**

- Appendix A – Internal Audit Progress Report
- Appendix B – Partnership Arrangements
- Appendix C – Risk Management
- Appendix D – IT Data Security

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